



AF/37/27/8

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| | |
|------------------------|-------------------------------|
| Application Number | 09/745,098 |
| Filing Date | 12/20/2000 |
| First Named Inventor | Carlos Orlando Vilacha Zanoni |
| Group Art Unit | 3727 |
| Examiner Name | Nathan Jeffrey Newhouse |
| Attorney Docket Number | 286765-00001 |

ENCLOSURES (check all that apply)

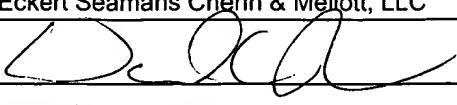
| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Return Postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

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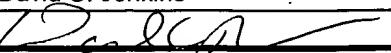
TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------------|---|
| Firm or Individual name | David C. Jenkins Eckert Seamans Cherin & Mellott, LLC |
| Signature |  |
| Date | November 15, 2002 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

| | |
|-----------------------|---|
| Typed or printed name | David C. Jenkins |
| Signature |  |
| Date | November 15, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 160.00)

Complete if Known

| | |
|----------------------|-------------------------------|
| Application Number | 09/745,098 |
| Filing Date | 12/20/2000 |
| First Named Inventor | Carlos Orlando Vilacha Zanoni |
| Examiner Name | Nathan Jeffrey Newhouse |
| Art Unit | 3727 |
| Attorney Docket No. | 286765-00001 |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

| | |
|------------------------|----------------|
| Deposit Account Number | 02-2556 |
| Deposit Account Name | Eckert Seamans |

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee | Fee Code (\$) | Fee Description | Fee Paid |
|-------------------|--------------|---------------|-----|---------------|------------------------|----------|
| 1001 | 740 | 2001 | 370 | | Utility filing fee | |
| 1002 | 330 | 2002 | 165 | | Design filing fee | |
| 1003 | 510 | 2003 | 255 | | Plant filing fee | |
| 1004 | 740 | 2004 | 370 | | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| | | | -20** = | X | = |
| | | | - 3** = | X | = |
| | | | | | |

| Large Entity | Small Entity | Fee Description |
|-------------------|--------------|---|
| 1202 | 18 | 2202 9 Claims in excess of 20 |
| 1201 | 84 | 2201 42 Independent claims in excess of 3 |
| 1203 | 280 | 2203 140 Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 42 ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 9 ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$) | | |

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|--------|---------------|---|----------|
| 1051 | 130 | 2051 | 65 Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 Extension for reply within first month | |
| 1252 | 400 | 2252 | 200 Extension for reply within second month | |
| 1253 | 920 | 2253 | 460 Extension for reply within third month | |
| 1254 | 1,440 | 2254 | 720 Extension for reply within fourth month | |
| 1255 | 1,960 | 2255 | 980 Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 Notice of Appeal | 160 |
| 1402 | 320 | 2402 | 160 Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 Petition to revive - unavoidable | |
| 1453 | 1,280 | 2453 | 640 Petition to revive - unintentional | |
| 1501 | 1,280 | 2501 | 640 Utility issue fee (or reissue) | |
| 1502 | 460 | 2502 | 230 Design issue fee | |
| 1503 | 620 | 2503 | 310 Plant issue fee | |
| 1460 | 130 | 1460 | 130 Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 Recording each patent assignment per property (times number of properties) | |
| 1809 | 740 | 2809 | 370 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 740 | 2810 | 370 For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 740 | 2801 | 370 Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 Request for expedited examination of a design application | |

Other fee (specify) _____

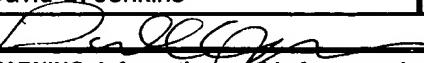
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 160.00)

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SUBMITTED BY

(Complete if applicable)

| | | | | | |
|-------------------|---|--------------------------------------|--------|-------------------|--------------|
| Name (Print/Type) | David C. Jenkins | Registration No. (Attorney/Agent) | 42,691 | Telephone | 412/566-1253 |
| Signature |  | | Date | November 15, 2002 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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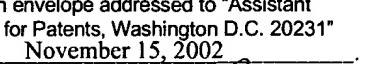


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PTO/SB/31 (02-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | | | | | | | |
|--|-------------------------------------|--|----------------------------------|---------------------|--|--|------------------------|-------------------------------------|
| NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES | | Docket Number (Optional) 286765-00001 | | | | | | |
| <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Assistant Commissioner for Patents, Washington D.C. 20231" on <u>November 15, 2002</u>.</p> <p>Signature </p> <p>Typed or printed name <u>David C. Jenkins</u></p> | | | | | | | | |
| <p>In re Application of Carlos Orlando Vilacha Zanoni</p> <table border="1"> <tr> <td>Application Number 09/745,098</td> <td>Filed 12/20/2000</td> </tr> <tr> <td colspan="2"> For Crown Closure Having a Reduced Radius and Method of Manufacture </td> </tr> <tr> <td>Group Art Unit 3727</td> <td>Examiner Nathan Jeffrey Newhouse</td> </tr> </table> | | | Application Number 09/745,098 | Filed 12/20/2000 | For Crown Closure Having a Reduced Radius and Method of Manufacture | | Group Art Unit 3727 | Examiner Nathan Jeffrey Newhouse |
| Application Number 09/745,098 | Filed 12/20/2000 | | | | | | | |
| For Crown Closure Having a Reduced Radius and Method of Manufacture | | | | | | | | |
| Group Art Unit 3727 | Examiner Nathan Jeffrey Newhouse | | | | | | | |

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b)) \$ 320.00

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ 160.00

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Commissioner has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. . I have enclosed a duplicate copy of this sheet.

A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

applicant/inventor.

assignee of record of the entire interest.
See 37 CFR 3.71. Statement under 37 CFR 3.73(b)
is enclosed. (Form PTO/SB/96)

attorney or agent of record.

attorney or agent acting under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a). _____

Signature

David C. Jenkins

Typed or printed name

November 15, 2002

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of 3 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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